Reply Dated: January 28, 2005

Reply to Office Action Mailed September 10, 2004

Attorney Docket No. 225/49620

REMARKS

Applicants acknowledge the indicated allowability of the subject matter of

Claim 6, as set forth in paragraph 8 of the Office Action. In particular, Claim 6

would be allowable if rewritten in independent form. However, for the reasons

set forth hereinafter, Applicants respectfully submit that Claim 6 is allowable in

its present dependent form.

In response to the objection to the title of the invention as not descriptive,

Applicants have revised the title to identify more specifically the invention as

disclosed and claimed. Accordingly, reconsideration and withdrawal of this

ground of objection are respectfully requested.

Claim 1 has been rejected under 35 U.S.C. §103(a) as unpatentable over

Sonderman et al (U.S. Patent No. 6,546,508), while Claims 2-6 have been

rejected as unpatentable over Sonderman et al in view of Gerstung et al (U.S.

Patent No. 5,436,837). Nevertheless, as discussed in greater detail hereinafter,

Applicants respectfully submit that all claims currently of record distinguish

over both Sonderman et al and Gerstung et al, whether considered separately or

in combination. (Applicants note, that paragraph 4 of the Office Action indicates

that Claims 2-6 have been rejected over Sonderman et al and Gerstung et al.

However, in view of the specific indication of the allowability of the subject

matter of Claim 6 as set forth in paragraph 8 of the Office Action, as well as the

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Statement of Reasons set forth in paragraph 9, Applicants believe that the

designation of Claims 2-6 was in error, and that it was intended that only Claims

2-5 be rejected based on this combination of references.)

The present invention is directed to an electronic control system of the

type in which a plurality of control units communicate with each other via a

communication network (sometimes referred to as "distributed control systems"),

and in particular, to a method and apparatus for avoiding incorrect transmission

of data in such a distributed control system. For this purpose, the invention

provides that, for the transmission of control related data between a first control

unit and a second control unit in such a distributed control system, a series of

checks are made to check the integrity of the transmitted data. For this purpose,

to transmit a control related signal to the second control unit, the first control

unit initially sends that signal (via a buffer memory) to a third control unit,

which checks the integrity of the signal, and if the data are correct, returns a

different set of signals to the first control unit. The first control unit then checks

the different signals returned by the third control unit, and if the latter are

correct, only then are the original control related signals transmitted to the

second control unit. Additional levels of checking are also provided by the second

control unit, and subsequently once again by the first control unit. If at any step

amongst these several checks, an error is found in the data, either the first

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control unit is shut down, the control related signal is not transmitted, or it is

ignored by the second control unit.

More specifically, the checks referred to above are carried out as follows:

initially, before transmitting a control related signal to the second control unit,

the first control unit generates the control related signal and a second signal

complementary thereto, on different paths, and sends both signals to a memory,

together with two additional signals which are indicative of the respective paths

by which the control related signal and complementary signal were generated.

A third control unit which is a subscriber to the network then reads out

the control related and complementary signals, as well as the additional signals

from the memory, and checks them. Upon detection of an error in any of the

signals, the third control unit switches off the first control unit. If, however, the

signals are correct (that is, they contain no errors), the third control unit

generates a different set of signals and sends them to the memory.

Thereafter, the first control unit reads out from the memory the different

set of signals generated by the third control unit, and checks them for integrity.

If an error is detected in the different set of signals, the first control unit

switches itself off. If, however, no error is found in the signals, the first control

unit then emits the control related signal for transmission to the second control

unit.

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According to a further embodiment of the invention, at the time when the

first control unit emits the control related signal for transmission to the second

control unit, it also generates a further signal comprising a prescribed selection

of the different signals generated by the third control unit, and sends the further

signal to the second control unit along with the control related signal.

second control unit then tests the further signal and, if an error is found therein,

disregards the control related signals which accompany them. On the other

hand, if there is no error in the further signals, the second control unit obeys the

control related signal transmitted by the first control unit.

Still a further embodiment provides yet another level of checking, in that,

after it has verified the correctness of the signals which it has received, the

second control unit returns to the first control unit an acknowledgment signal

that is correlated to the received control related signal. The first control unit

then checks the acknowledgement signal and switches the control system to an

emergency operating or standby operating mode if an error is detected in the

acknowledgement signal.

Finally, in still another embodiment of the invention, the control related

signal and the additional signals generated by the third control unit are stored

in a buffer, which then returns them to the first control unit.

compares these signals to the signals as set, and turns itself off in the event of a

deviation.

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Each of the above several layers of the checking procedure implemented in

the electronic control unit according to the invention is recited in the claims of

the application.

In contrast to the present invention, the Sonderman et al reference

discloses a method and apparatus for detecting faulty operation of a processing

tool, such as a semiconductor fabrication device, based on "operational state

data" which characterize the current operating state of the tool. (Column 2, lines

38-42.) Such "operational state data" may include, for example, "temperature,

pressure, and gas flow measurements from the processing tool". (Column 2, lines

61-62.) The tool operational state data are communicated to an Advance Process

Control (APC) framework to determine whether the tool is experiencing faulty

operation. (Column 2, lines 55-59.) For this purpose, a fault detection unit 125

compares the tool operational state data (relayed and reformatted by the APC

framework 120) to "fault model data". The latter is simply a collection of tool

state data of "other similar-type tools, where it was previously known that such

tools have operated within acceptable operational limits". (Column 3, lines 42-

46; Column 5, lines 61-63.) In other words, the fault detection unit 125 compares

data such as temperature, pressure and gas flow measurements from the

processing tool 105 to data from similar equipment which was known to be

working properly, and determines whether the current temperature, pressure

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and gas flow measurements (for example) are such as to imply that the tool is

operating properly.

In addition to the operational state data forwarded by the tool itself an

add-on sensor 115 may also be coupled to the processing tool to measure

additional tool state data which cannot be determined by the tool. For example,

the add-on sensor 115 could be used to determine whether the silicon wafer was

produced within acceptable operational limits by the tool 105. The latter in turn

depends on whether the tool produced the wafer within an acceptable

temperature range. In all events, however, the information from the sensor 115

is transmitted as "operational state data" together with the information provided

by the tool itself, and used to compare with the fault model data to determine

that the tool is operating properly.

As can be seen from the foregoing brief description, the Sonderman et al

apparatus differs fundamentally from the present invention, in at least the

following particulars.

1. It does not disclose an electronic control system for transmission of

a control-related signal from a first control unit to a second control

unit, as recited in Claim 1.

2. It does not provide for a first control unit generating a control-

related signal and a second signal complementary thereto on

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different paths, nor does it provide for transmitting two additional

signals which are indicative of the respective different paths by

which the first two signals were generated. It is noteworthy in this

regard that the "operational state data" in Sonderman et al are

nothing more than diagnostic operating parameter data for the tool.

They do not constitute a control-related signal that is to be

transmitted to a second control unit. Moreover, given the nature of

the operational state data, there would be no utility at all in

providing for the generation of a signal complementary thereto on a

different path, or for information regarding the paths on which the

two signals were generated.

3. Sonderman et al also does not disclose that a third control unit

reads out the control related and complementary signals and the

additional signals transmitted by the first control unit and checks

those signals for errors therein. Indeed, given the nature of the

signals, and the overall purpose of the Sonderman et al apparatus,

there would be no need for or detecting errors in the signal. Rather,

Sonderman et al merely looks for errors in operation of the

processing tool.

4. Sonderman et al also contains no suggestion that the third control

unit, having verified the correctness of the signals which it received,

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"generates different types of tests or safety signals" and sends them

back to the first control unit by a memory.

5. Sonderman et al also contains no suggestion that the first control

unit then reads out the test or safety data signals generated by the

third control unit and tests for errors in the latter signals, all as

recited in Claim 1, and in method Claim 7.

Claims 2 through 5 and 8 through 11 define additional tests performed by

the method and apparatus according to the invention, none of which finds a

corresponding provision in the Sonderman et al reference.

Paragraph 3a of the Office Action suggests that in Sonderman et al, "state

data" are transmitted along with "operational state data", referring to Column 1,

lines 45-53 and 60-67. As can be seen from the above description, however, in

Sonderman et al, the terms "state data" and "operational state data" are used

interchangeably, and refer to the same quantity, being simply the operating

parameters of temperature, pressure and gas flow measurements, etc.

Paragraph 3a of the Office Action also indicates that in Sonderman et al,

the "fault detection unit compares the received tool state data to the fault model

data, also received". Applicants are uncertain what significance is attributed to

the words "also received", but it is clear from the disclosure in Sonderman et al

that these data merely constitute a collection of operating parameters data from

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properly operating tools, which presumably are stored somewhere in the system.

In particular, they do not correspond to the "additional signals" defined, for

example, in Claim 1.

In summary, while the present invention provides a multi-tiered checking

procedure for verifying the integrity of control-related signals transmitted in a

distributing control system, Sonderman et al merely checks the operational

status of a processing tool. It is unconcerned with the integrity of the

transmitted data and uses a checking technique which is altogether different

from that of the present application.

The Gerstung et al reference, on the other hand, discloses a system for

monitoring a control device in a motor vehicle, in which a monitoring device is

coupled to the control device. The control device receives "first data" and

determines "second data" based thereon in accordance with a predetermined test

function. The monitoring device also receives the "first data and determines

"third data" based thereon in accordance with the same test function. The

second and third data are then compared in order to detect a malfunction in the

system, based on whether the data matches. (See Column 1, lines 46-57.) In

other words, the monitoring device performs a redundant checking operation

which parallels that of the control device, and if the two results (the second data

and third data) match, then there is an extremely high probability that both the

control device and the monitoring device are working properly.

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Like Sonderman et al, Gerstung et al fails to teach or suggest a

distributed electronic control system such as defined in the claims of the present

application, in which a multi-level hierarchical test regime can be performed,

based on the proposition that the data as originally generated by a first control

unit includes not only a control-related signal, but also a second signal

complementary to the control-related signal, which is generated on a different

path within the first control unit, and also that the first control unit sends two

additional signals which are indicative of the respective paths used to generate

the control-related signal and its complement. The information contained in the

latter signals makes it possible to check the internal integrity of the signals

themselves, in a manner which is neither taught nor suggested by Gerstung et

al. Accordingly, Gerstung et al contains no disclosure which teach or suggest a

modification of Sonderman et al to replicate the present invention.

In light of the foregoing remarks, this application should be in condition

for allowance, and early passage of this case to issue is respectfully requested. If

there are any questions regarding this amendment or the application in general,

a telephone call to the undersigned would be appreciated since this should

expedite the prosecution of the application for all concerned.

If necessary to effect a timely response, this paper should be considered as

a petition for an Extension of Time sufficient to effect a timely response, and

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Edwarde

please charge any deficiency in fees or credit any overpayments to Deposit Account No. 05-1323 (Docket #225/49620).

Respectfully submitted,

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